

Testing and Evaluation of GSI-Hybrid Data Assimilation and Its Applications for HWRF at the Developmental Testbed Center

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Data Assimilation Activities at DTC

- Gridpoint Statistical Interpolation (GSI) Code Management

Global

- GFS*
- NASA GEOS

Regional

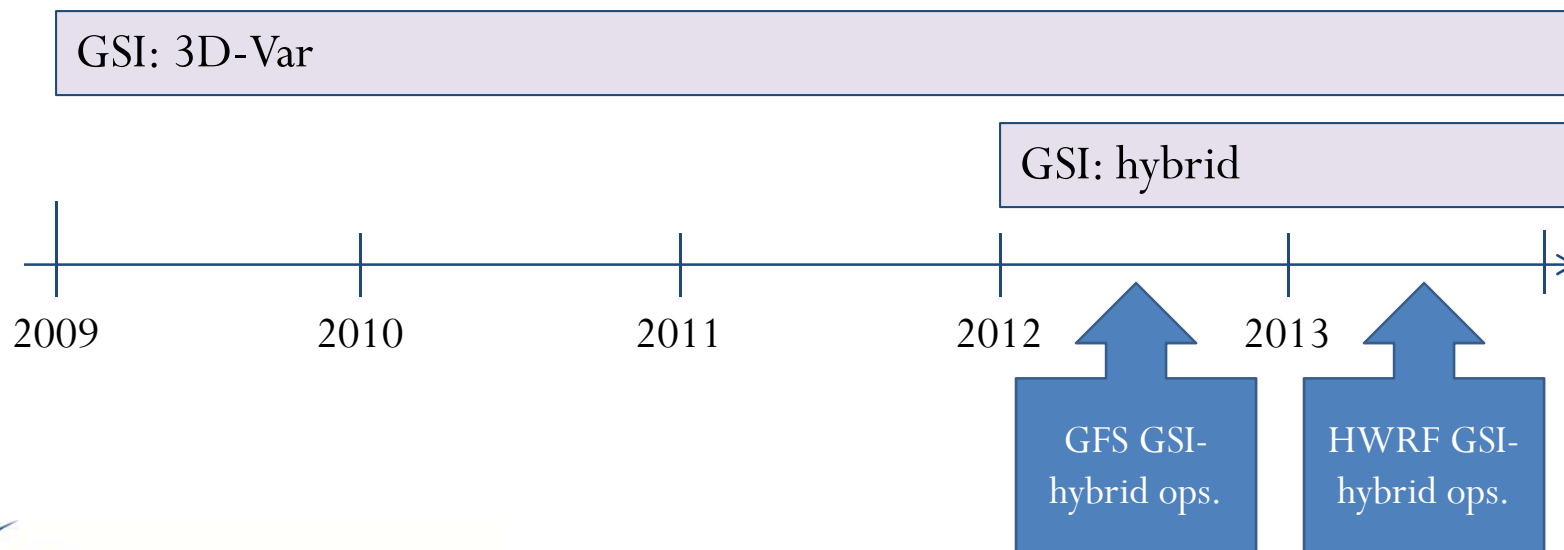
- NAM*:NMM-B
- HWRF*:NMM

- RAP*:ARW
- AFWA meso:ARW
- RTMA*

* NOAA ops.

- Community Support

- Testing and Evaluation (T&E)



GSI-hybrid T&E for HWRF

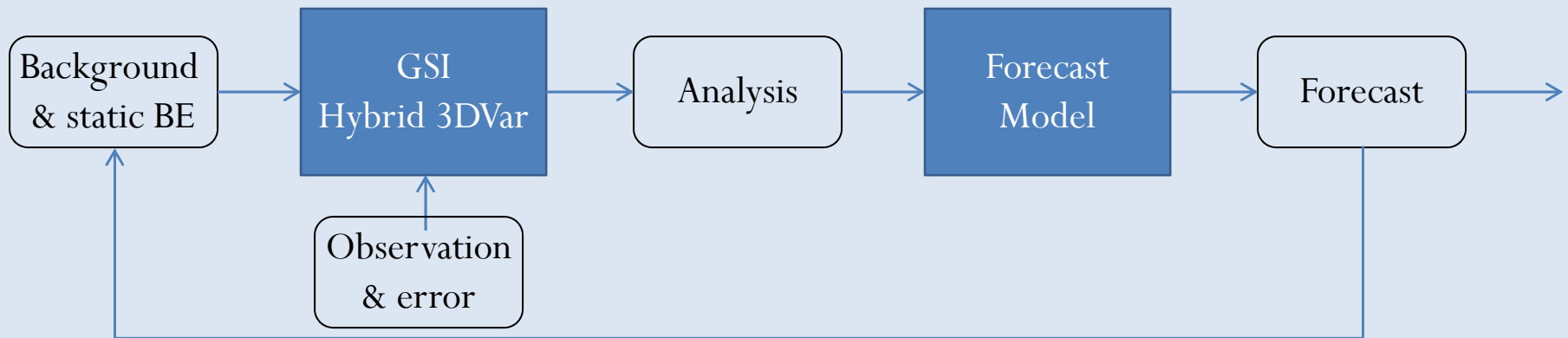
- Data assimilation in outer domain (large scale) (2012)
 - GSI-hybrid (using GFS ensemble) versus GSI (3D-Var)
 - Cycling scheme for GSI-hybrid: cold start, partial cycling
 - Tuning relative contributions of ensemble and static background errors (BE)
 - Data impacts: conventional, GPS radio occultation (RO) data
- Data assimilation in high-resolution moving nests (2013)
 - GSI-hybrid using regional ensembles vs. using GFS ensembles
 - Vortex initialization vs. data assimilation

GSI Hybrid Variational-Ensemble System

$$J(\mathbf{x}) = \underbrace{\frac{\beta}{2}(\mathbf{x}-\mathbf{x}_b)^T \mathbf{B}_{\text{Var}}^{-1}(\mathbf{x}-\mathbf{x}_b)}_{\text{Background term}} + \underbrace{\frac{1-\beta}{2}(\mathbf{x}-\mathbf{x}_b)^T \mathbf{B}_{\text{Ens}}^{-1}(\mathbf{x}-\mathbf{x}_b)}_{\text{Ensemble term}} + \underbrace{\frac{1}{2}(\mathbf{y}-\mathbf{H}\mathbf{x})^T \mathbf{R}^{-1}(\mathbf{y}-\mathbf{H}\mathbf{x}) + J_c}_{\text{Observation term+Constraint}}$$

Ensemble member 1, ..., N

Ops HWRF (2013) is using GFS ensemble (updated by an Ensemble Kalman Filter (EnKF) data assimilation system)



\mathbf{x} : Analysis vector

\mathbf{x}_b : background vector

\mathbf{B}_{Var} : Static background error estimated offline

\mathbf{B}_{Ens} : (Flow-dependent) background error estimated from ensemble

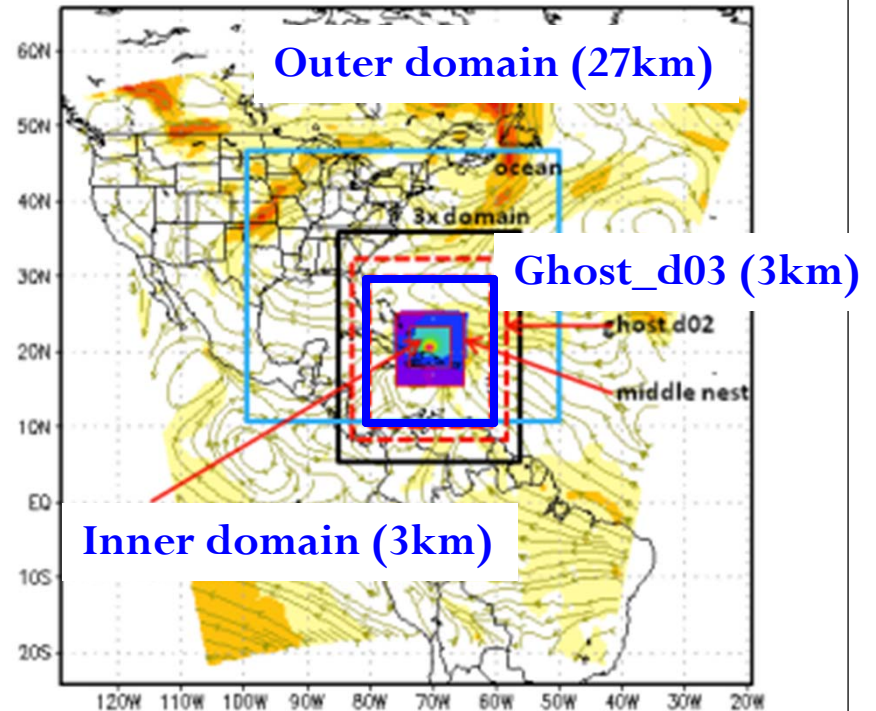
β : Weighting factor (0.25 means total \mathbf{B} is $\frac{3}{4}$ ensemble)

Data assimilation in moving nests

- Generated ensemble for ghost_d03 domain
 - Merged from HWRF ensembles for outer domain & middle nest (domains move following TC)
- Conducted 2 sets of experiments for [Isaac \(2012\)](#) and [Sandy \(2012\)](#):

6 hourly DA in outer and ghost_d03, then forecasts in outer and inner domains

- **GLBL:**
 - GSI-hybrid using **GFS ensemble** (80 members, 0.46 deg)
 - Outer domain: conventional data
 - Ghost_d03 domain: **conventional and NOAA P3 tail Doppler radar (TDR)**
- **RGNL:** Same as GLBL, except DA for ghost_d03 used **HWRF ensemble** (20 members, 9km) from step 2.

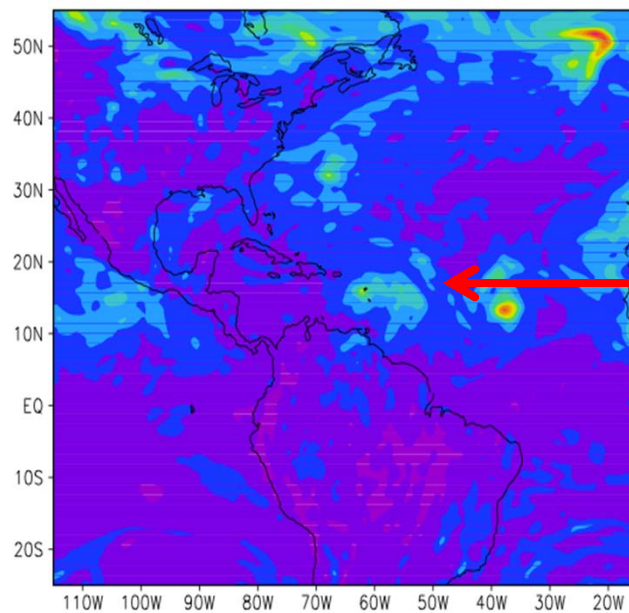


2013 HWRF operational domains

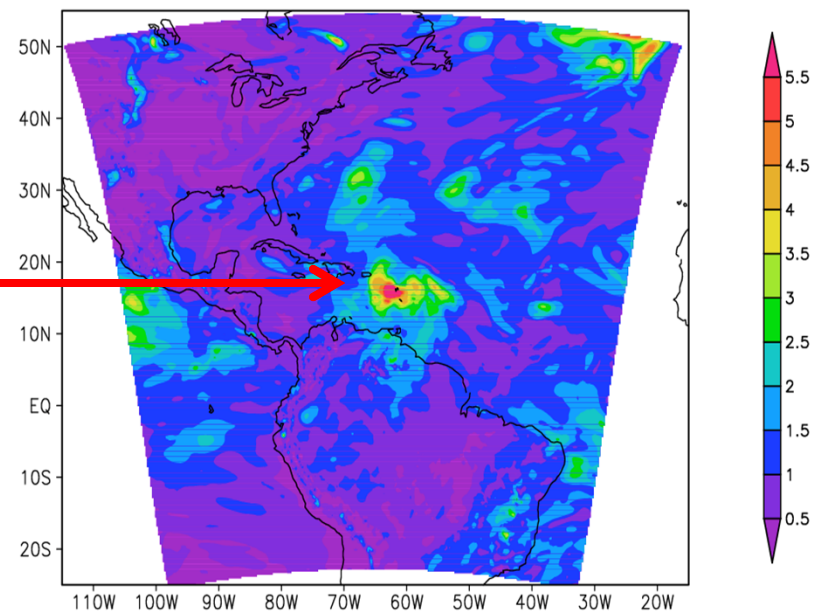
GFS vs. HWRF ensemble

HWRF ensemble generated by DTC using EMC's HWRF ensemble code (provided by Zhan Zhang, 2013):

- Model physics perturbation with stochastic convective trigger, 20 member GEFS (Ensemble Transform with Rescaling (ETR) based) for IC/BC perturbations



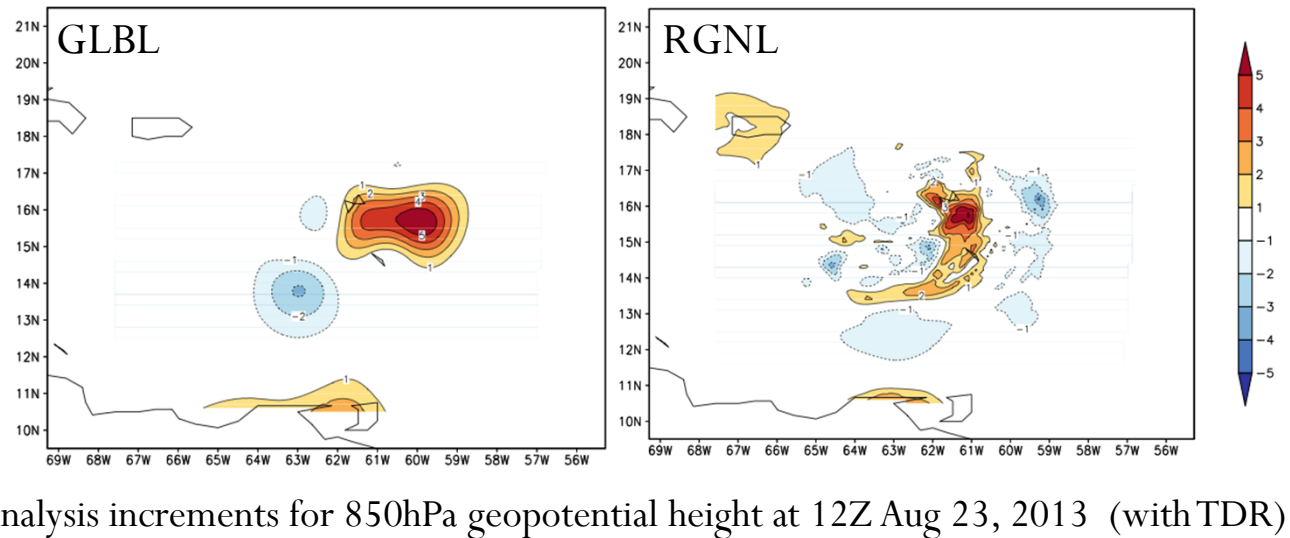
GFS ensemble (ENKF based, 80 members) spread



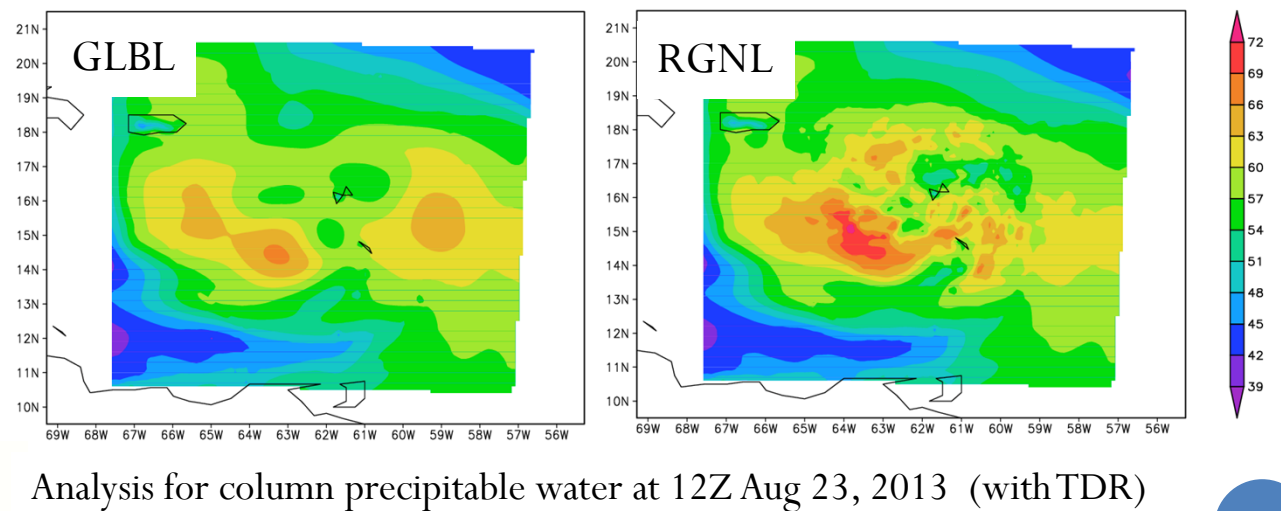
HWRF ensemble (20 members) spread

Analysis results

- RGNL analyses (with TDR assimilated) provide better flow-dependent and finer scale structures.



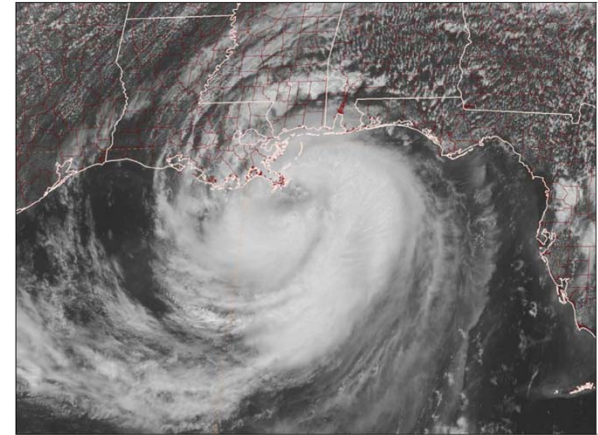
- GSI analysis increment mostly generated by TDR DA (limited amount of conv. data)



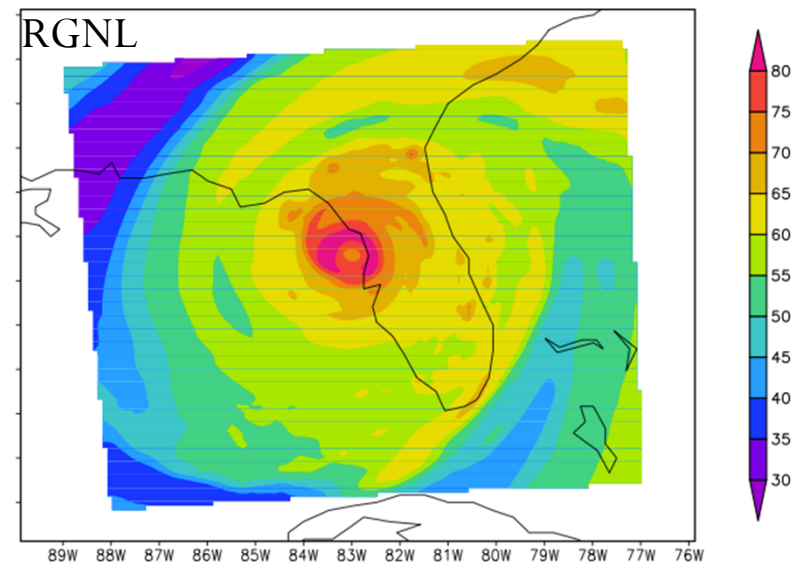
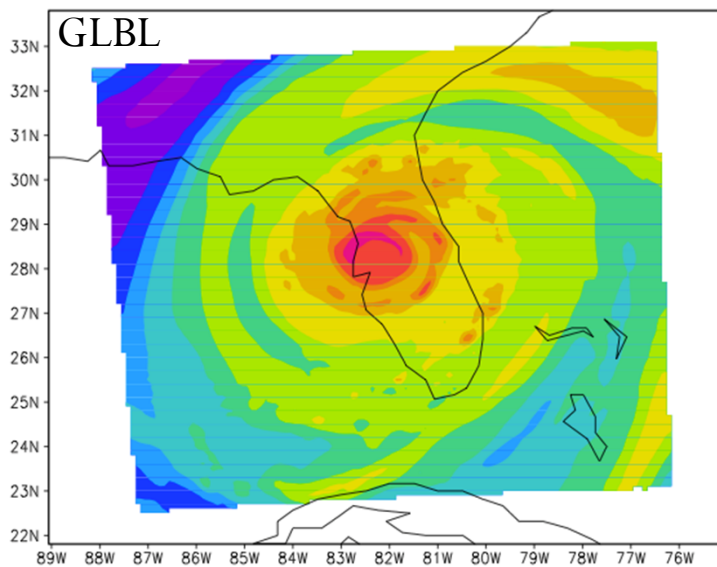
Forecast verification

RGNL (using HWRF ensemble):

- More realistic hurricane structure
- Marginal impacts on track forecasts



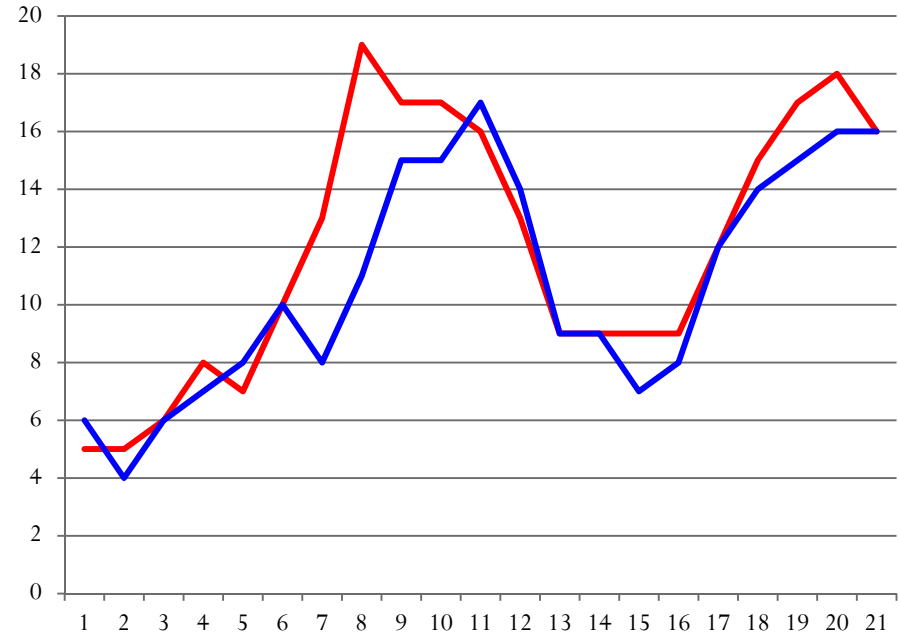
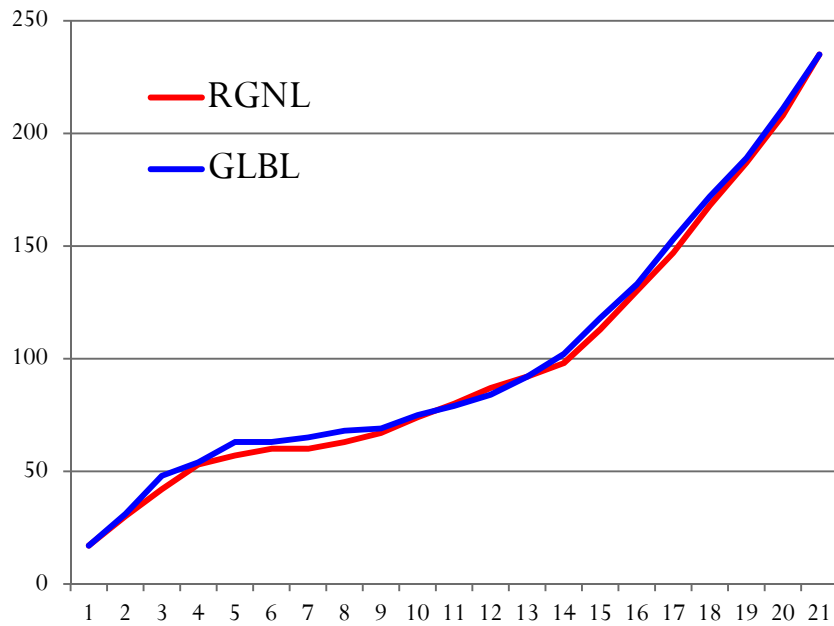
GOES visible image at 1815Z Aug 28, 2013



Column precipitable water 120-h forecasts initialized at 12Z Aug 23, 2013 (with TDR)

Forecast verification (cont)

Aggregated abs. track (nm) and intensity (kts) errors for Isaac (Aug 22-27, 2012)



Analysis Time

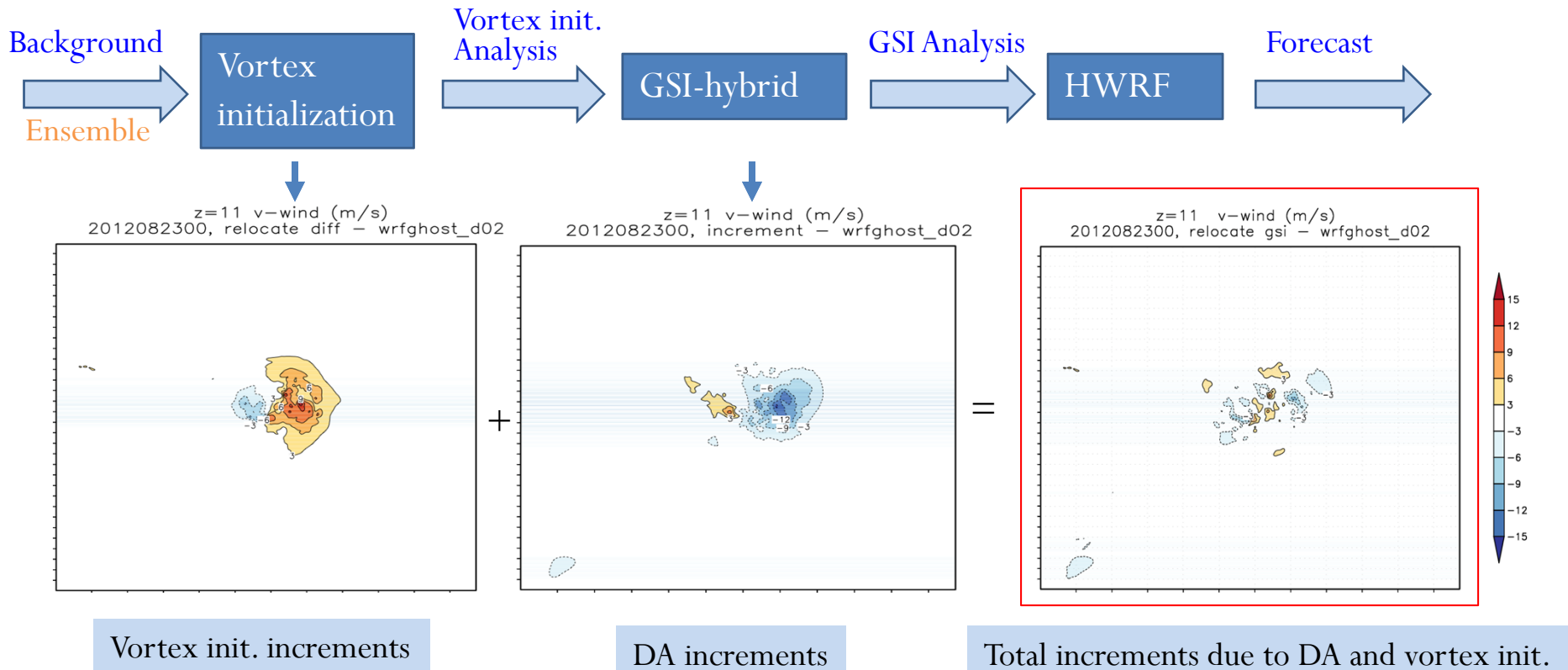
RGNL (using HWRF ensemble):

120 h forecast

- Slight improvements on track forecasts
- Bigger intensity errors

Max # of cases: 21

Impacts of HWRF initialization: inner domain (Isaac 2012)

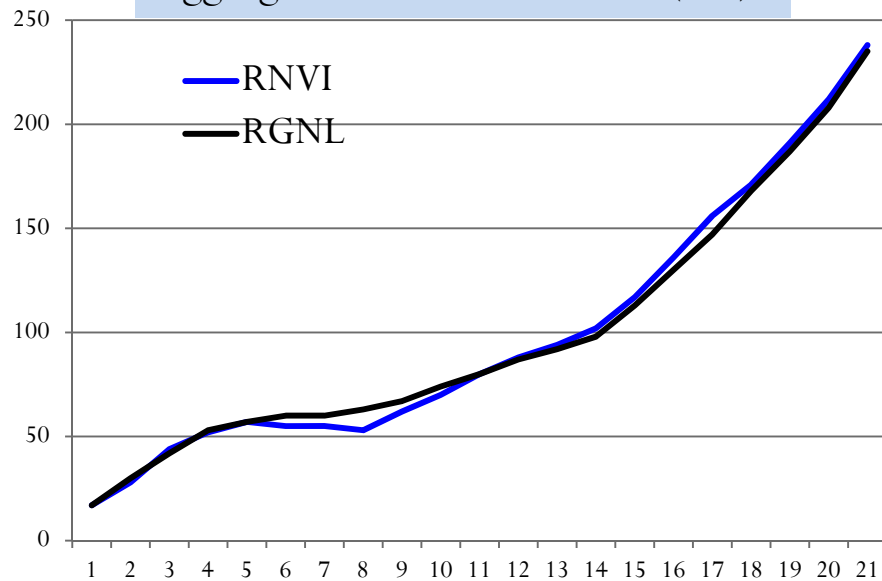


- The increments generated from vortex initialization are not consistent with (or even counter-act) analysis increments from DA
- Similar results were found for Sandy (2012) case as well

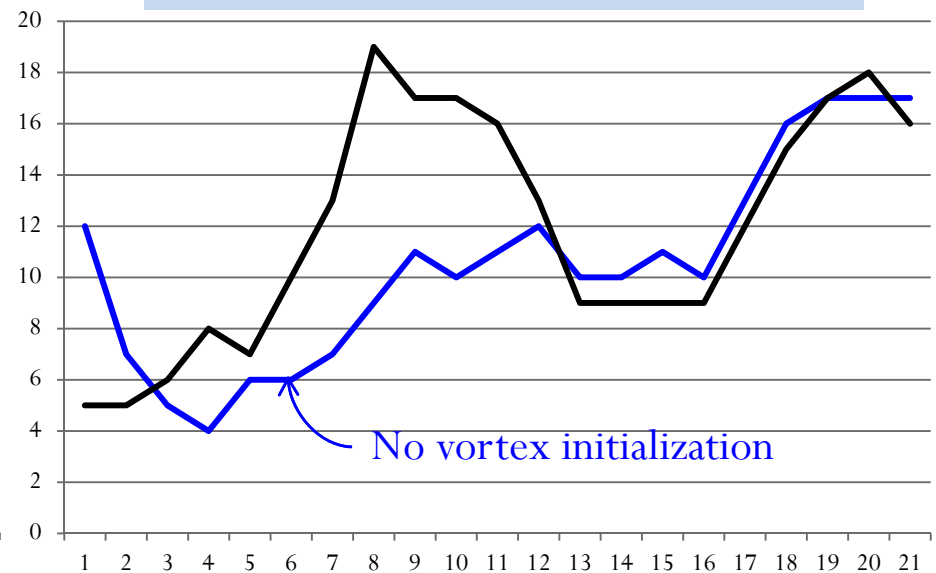
Impacts of vortex initialization: inner domain (Isaac 2012)

- RNVI: Same as RGNL (using HWRF ensemble), except the vortex initialization step was removed:
 - Adjustment to the background came from data assimilation only.

Aggregated abs. track errors (nm)



Aggregated abs. intensity errors (kts)



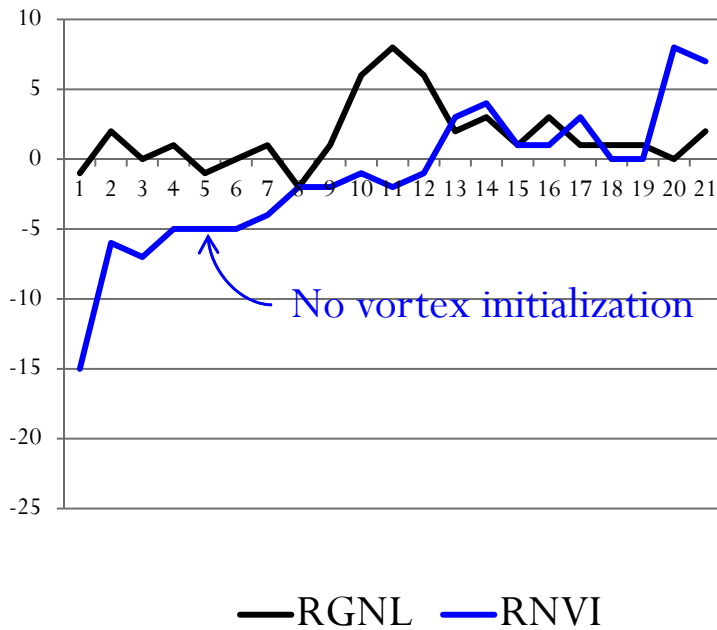
Analysis Time

120 h forecast

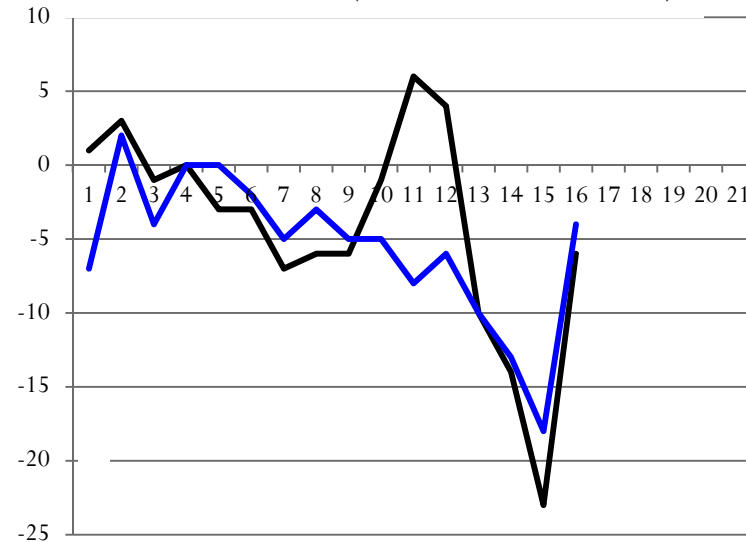
Impacts of vortex initialization: inner domain (Sandy 2012)

Aggregated intensity error (kts)

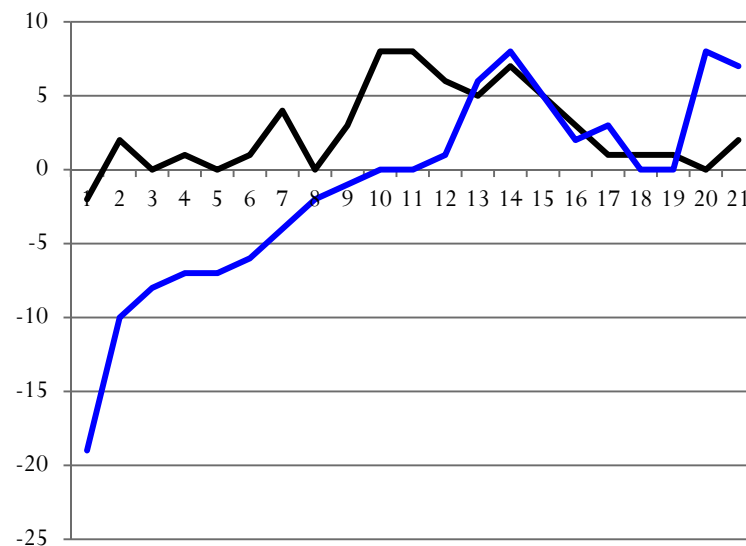
All (Max # of cases: 25)



With TDR DA (Max # of cases: 8)



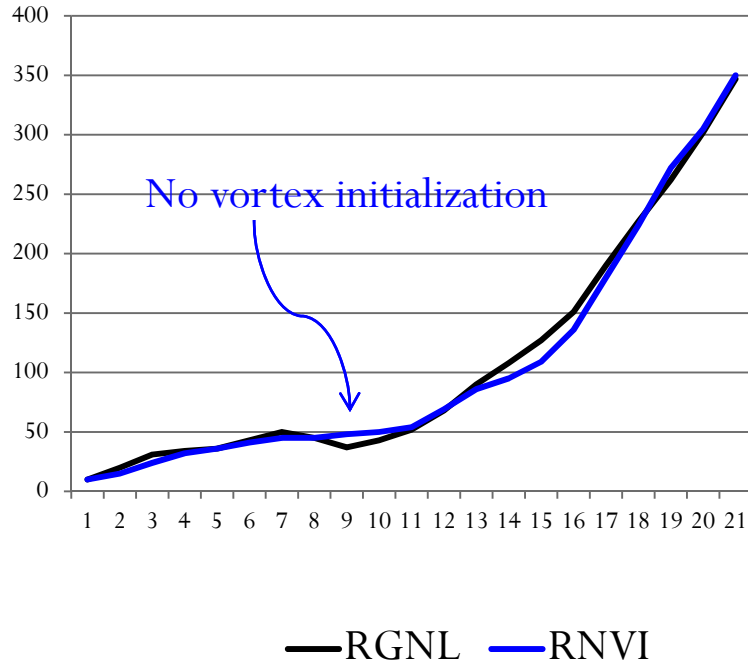
Without TDR DA (Max # of cases: 17)



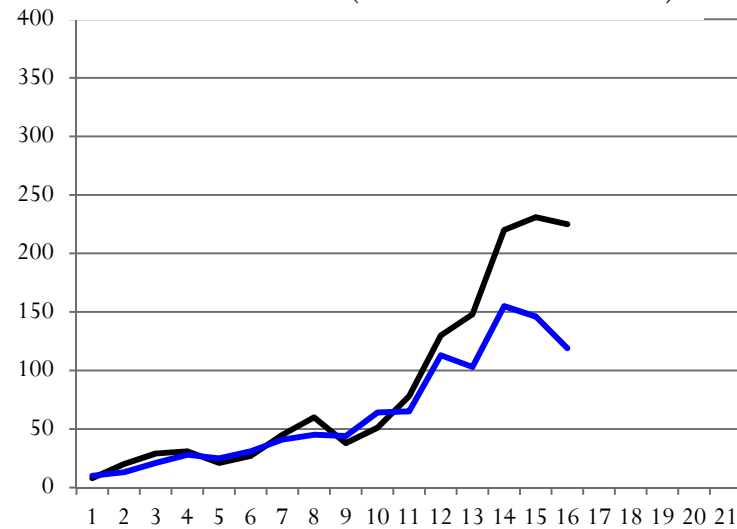
Impacts of vortex initialization: inner domain (Sandy 2012)

Aggregated abs. track error (nm)

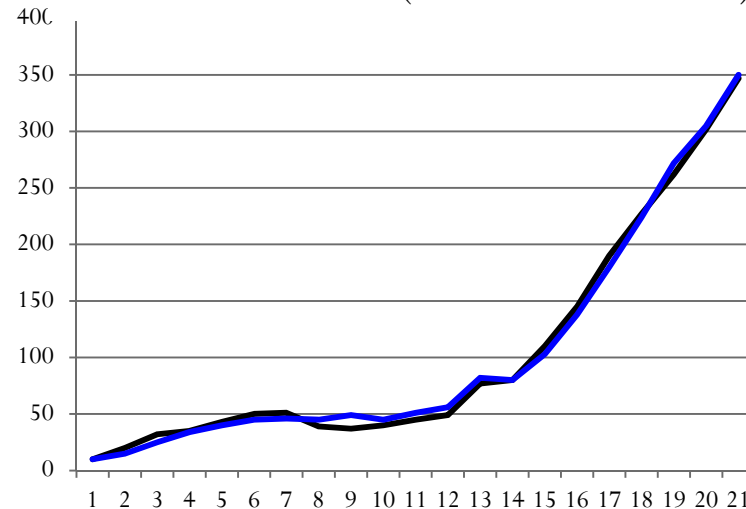
All (Max # of cases: 25)



With TDR DA (Max # of cases: 8)



Without TDR DA (Max # of cases: 17)



Summary and future plans

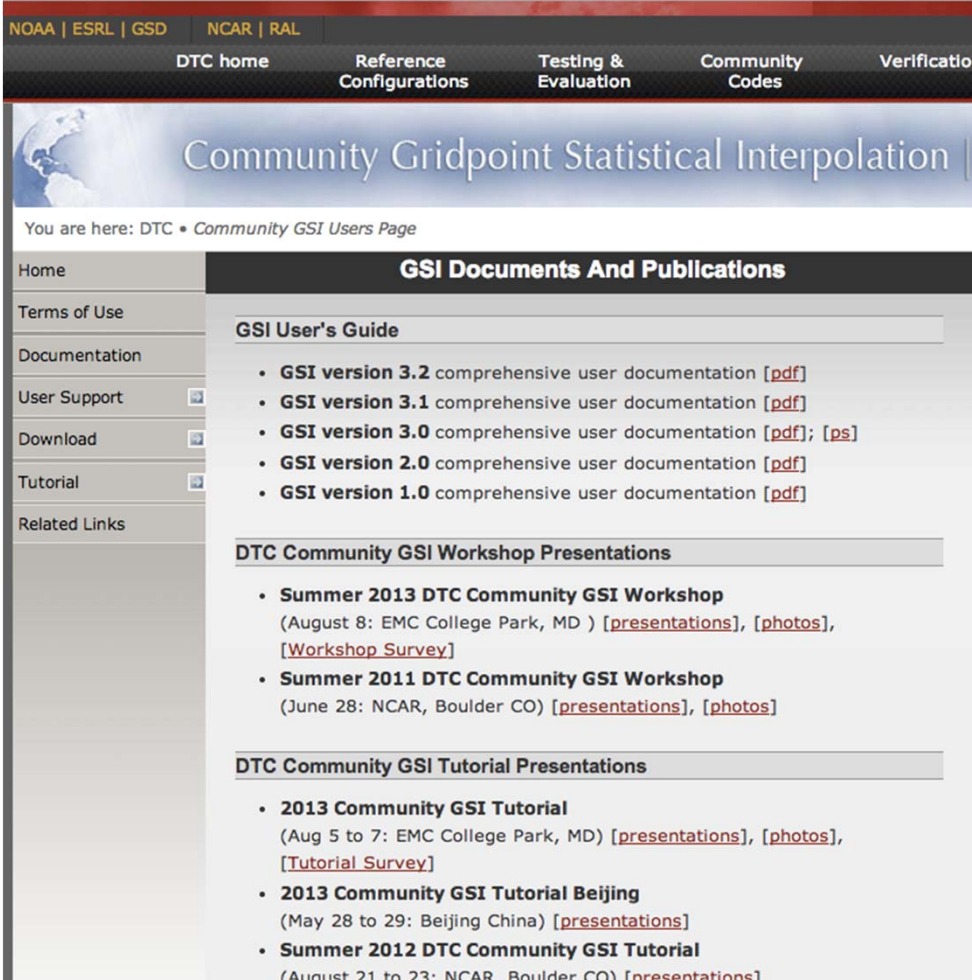
- HWRF regional ensemble provides
 - Finer resolution
 - Larger ensemble spread around TC areas (inner domain)
 - More realistic analysis increments with better flow-dependent features
- Minimal impacts on TC track and intensity forecasts were found by using HWRF ensemble vs GFS ensemble
 - Vortex initialization counter-acts DA analysis
 - Removing vortex initialization gives better intensity forecast, if inner core TDR data assimilation is available
- Challenges/future work
 - More data types for the inner core DA
 - Ensemble representation for TCs
 - Vortex initialization in the framework of data assimilation
 - Two-way hybrid (HWRF ensembles updated by ensemble DA and recentered using deterministic analysis): cycling of inner-core DA

Summary and future plans (cont)

- Code management and community support:
 - GSI (current)
 - EnKF (Ensemble DA system used by GFS two-way GSI-hybrid system)

Upcoming Community GSI Annual Tutorial:

July 14-16, 2014, NCAR Foothills Lab, Boulder, CO
(Registration will open in March)



The screenshot shows the DTC Community GSI Users Page. The page has a navigation bar with links for NOAA | ESRL | GSD, NCAR | RAL, DTC home, Reference Configurations, Testing & Evaluation, Community Codes, and Verification. The main heading is "Community Gridpoint Statistical Interpolation". Below the heading, it says "You are here: DTC • Community GSI Users Page". The page is divided into a left sidebar and a main content area. The sidebar contains links for Home, Terms of Use, Documentation, User Support, Download, Tutorial, and Related Links. The main content area is titled "GSI Documents And Publications" and contains three sections: "GSI User's Guide" with links to GSI versions 3.2, 3.1, 3.0, 2.0, and 1.0; "DTC Community GSI Workshop Presentations" with links to Summer 2013 and Summer 2011 workshops; and "DTC Community GSI Tutorial Presentations" with links to 2013 Community GSI Tutorial, 2013 Community GSI Tutorial Beijing, and Summer 2012 DTC Community GSI Tutorial.

